

RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2020

Annual disclosure of information required by MiFID II



Class of Instrument Av Daily Vol Less Than 1C

Client Type Professional

Notification if <1 average trade per business in the previous year Yes

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
N+1 Singer (213800ITO7QMNQ9SFG53)	34.19%	28.13%	N/A	N/A	N/A
Cenkos Securities plc (213800ALVRC52VN3I821)	33.89%	40.63%	N/A	N/A	N/A
Finncap (213800DSWHX26RRA1N75)	7.26%	6.25%	N/A	N/A	N/A
Zeus Capital (213800F6CHS1SEYK1842)	5.88%	9.38%	N/A	N/A	N/A
Stifel Securities (213800BVEFNZ8UYPKL03)	4.90%	3.13%	N/A	N/A	N/A

Client Type Retail

Notification if <1 average trade per business in the previous year No

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Cenkos Securities plc (213800ALVRC52VN3I821)	33.56%	9.55%	N/A	N/A	N/A
N+1 Singer (213800ITO7QMNQ9SFG53)	14.81%	6.03%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	9.51%	22.61%	N/A	N/A	N/A
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	9.21%	52.76%	N/A	N/A	N/A
Finncap (213800DSWHX26RRA1N75)	8.03%	1.01%	N/A	N/A	N/A

RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2020

Annual disclosure of information required by MiFID II



Class of Instrument Av Daily Vol Between 10 & 8C

Client Type Professional

Notification if <1 average trade per business in the previous year Yes

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Cenkos Securities plc (213800ALVRC52VN3I821)	22.24%	24.42%	N/A	N/A	N/A
Finncap (213800DSWHX26RRA1N75)	17.78%	18.60%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	12.04%	10.47%	N/A	N/A	N/A
N+1 Singer (213800ITO7QMNQ9SFG53)	8.24%	4.65%	N/A	N/A	N/A
Berenberg (529900ZKF1P898Z2HD69)	8.06%	8.14%	N/A	N/A	N/A

Client Type Retail

Notification if <1 average trade per business in the previous year No

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Investec Securities (84S0VF8TSMH0T6D4K848)	19.69%	3.17%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	14.64%	30.45%	N/A	N/A	N/A
Cenkos Securities plc (213800ALVRC52VN3I821)	12.87%	3.49%	N/A	N/A	N/A
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	12.17%	51.94%	N/A	N/A	N/A
N+1 Singer (213800ITO7QMNQ9SFG53)	8.01%	1.59%	N/A	N/A	N/A

RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2020

Annual disclosure of information required by MiFID II



Class of Instrument Av Daily Vol Between 80 & 60C

Client Type Professional

Notification if <1 average trade per business in the previous year Yes

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Numis (213800P3F4RT97WDSX47)	29.99%	23.40%	N/A	N/A	N/A
Barclays Capital (K9WDOH4D2PYBSLSOB484)	24.73%	25.53%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	12.83%	17.02%	N/A	N/A	N/A
Jefferies (S5THZMDUJCTQZBTRVI98)	11.28%	6.38%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	9.43%	10.64%	N/A	N/A	N/A

Client Type Retail

Notification if <1 average trade per business in the previous year No

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Peel Hunt (5493007DWN0R4YBM4C84)	31.91%	26.38%	N/A	N/A	N/A
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	24.82%	63.77%	N/A	N/A	N/A
Numis (213800P3F4RT97WDSX47)	16.82%	4.34%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	12.44%	2.84%	N/A	N/A	N/A
Barclays Capital (K9WDOH4D2PYBSLSOB484)	4.40%	0.83%	N/A	N/A	N/A

RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2020

Annual disclosure of information required by MiFID II



Class of Instrument Av Daily Vol Between 600 & 2000

Client Type Professional

Notification if <1 average trade per business in the previous year Yes

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Barclays Capital (K9WDOH4D2PYBSLSOB484)	45.58%	46.51%	N/A	N/A	N/A
J P Morgan Cazenove (K6Q0W1PS1L1O4IQL9C32)	15.16%	13.95%	N/A	N/A	N/A
Numis (213800P3F4RT97WDSX47)	12.07%	9.30%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	5.48%	4.65%	N/A	N/A	N/A
Jefferies (S5THZMDUJCTQZBTRVI98)	4.83%	2.33%	N/A	N/A	N/A

Client Type Retail

Notification if <1 average trade per business in the previous year No

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	38.38%	73.16%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	38.30%	21.57%	N/A	N/A	N/A
Numis (213800P3F4RT97WDSX47)	9.17%	2.08%	N/A	N/A	N/A
Jefferies (S5THZMDUJCTQZBTRVI98)	6.45%	0.49%	N/A	N/A	N/A
Barclays Capital (K9WDOH4D2PYBSLSOB484)	4.71%	1.84%	N/A	N/A	N/A

RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2020

Annual disclosure of information required by MiFID II



Class of Instrument Av Daily Vol Between 2000 & 9000

Client Type Professional

Notification if <1 average trade per business in the previous year Yes

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Peel Hunt (5493007DWN0R4YBM4C84)	39.80%	40.35%	N/A	N/A	N/A
Zeus Capital (213800F6CHS1SEYK1842)	16.07%	15.79%	N/A	N/A	N/A
Barclays Capital (K9WDOH4D2PYBSLSOB484)	14.85%	12.28%	N/A	N/A	N/A
J P Morgan Cazenove (K6Q0W1PS1L1O4IQL9C32)	9.11%	5.26%	N/A	N/A	N/A
Numis (213800P3F4RT97WDSX47)	7.39%	5.26%	N/A	N/A	N/A

Client Type Retail

Notification if <1 average trade per business in the previous year No

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Peel Hunt (5493007DWN0R4YBM4C84)	51.09%	16.33%	N/A	N/A	N/A
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	40.25%	81.74%	N/A	N/A	N/A
Barclays Capital (K9WDOH4D2PYBSLSOB484)	2.50%	0.57%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	2.22%	0.40%	N/A	N/A	N/A
Zeus Capital (213800F6CHS1SEYK1842)	1.45%	0.34%	N/A	N/A	N/A

RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2020

Annual disclosure of information required by MiFID II



Class of Instrument Av Daily Vol More Than 900C

Client Type Professional

Notification if <1 average trade per business in the previous year Yes

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Peel Hunt (5493007DWN0R4YBM4C84)	64.94%	51.61%	N/A	N/A	N/A
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	19.57%	32.26%	N/A	N/A	N/A
Zeus Capital (213800F6CHS1SEYK1842)	10.67%	9.68%	N/A	N/A	N/A
Barclays Capital (K9WDOH4D2PYBSLSOB484)	4.81%	6.45%	N/A	N/A	N/A

Client Type Retail

Notification if <1 average trade per business in the previous year No

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	48.97%	80.73%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	39.92%	17.94%	N/A	N/A	N/A
Investec Securities (84S0VF8TSMH0T6D4K848)	4.85%	0.47%	N/A	N/A	N/A
Numis (213800P3F4RT97WDSX47)	3.14%	0.31%	N/A	N/A	N/A
Barclays Capital (K9WDOH4D2PYBSLSOB484)	2.52%	0.31%	N/A	N/A	N/A

RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2020

Annual disclosure of information required by MiFID II



Class of Instrument Debt Instruments

Client Type Retail

Notification if <1 average trade per business in the previous year Yes

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	100.00%	100.00%	N/A	N/A	N/A

RC Brown Investment Management plc RTS28 Top 5 Venue Report to 31/12/2020

Annual disclosure of information required by MiFID II



Class of Instrument Exchange Traded Products

Client Type Professional

Notification if <1 average trade per business in the previous year Yes

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	100.00%	100.00%	N/A	N/A	N/A

Client Type Retail

Notification if <1 average trade per business in the previous year No

Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume executed as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of Aggressive Orders	Percentage of directed orders
Winterflood Securities Limited (8BRUP6V1DX3PIG2R0745)	91.51%	96.06%	N/A	N/A	N/A
Peel Hunt (5493007DWN0R4YBM4C84)	8.49%	3.94%	N/A	N/A	N/A